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7 **Anesthetic Management of Pulmonary Metastatectomy in a Patient**

8 **Infected with Novel Corona Virus SARS-CoV-2**

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16

17 **Abstract**

18 Prioritization of individual patients for thoracic surgeries gained importance during the
19 current severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) pandemic to
20 ensure optimal utilization of resources. We report the successful anesthesia management
21 of an urgent pulmonary metastasectomy in an elderly patient despite him testing positive
22 for real-time reverse transcription–polymerase chain reaction [rRT-PCR] on two
23 occasions. The rationale behind acceptance of the case for surgery and the precautions
24 taken for reducing aerosol generation during the various stages of anesthesia are
25 highlighted.

26 **Keywords:** COVID-19; SARS-CoV-2; Coronavirus Infections; One-Lung Ventilation;
27 Personal Protective Equipment; Aerosols; Thoracic Surgical Procedures

28

29 **Introduction**

30 The emergence of the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2)
31 pandemic provisionally named as the coronavirus disease 2019 (COVID-19), has resulted
32 in the prioritizing of individual patients for thoracic surgeries in order to conserve
33 resources.¹ Droplets, contact, and natural aerosols cause the spread of SARS-CoV-2.
34 Thoracic anesthesia for thoracic surgical procedures in patients infected with COVID-19
35 gain special attention as many inherent procedures involved are a major source of aerosol
36 generation and may contribute to the spread of the virus. This case report describes the
37 successful anesthesia management of an elderly patient who tested positive twice for real-
38 time reverse transcription–polymerase chain reaction [rRT-PCR] and needed a
39 pulmonary metastasectomy as a semi-emergency. The report highlights the rationale
40 behind the decision for surgery and the precautions taken for reducing aerosol generation
41 during the various stages of anesthesia. To the best of the authors' knowledge, this is
42 probably the first case report from this country describing the practical aspects of
43 anesthetic management of a thoracic surgical procedure in a patient with COVID-19.

44

45 **Case report**

46 A 76-year-old-man presented to the authors institution with bilateral pulmonary
47 metastasis one year after having surgical excision of an adenocarcinoma of the sigmoid
48 colon [pT4aN1b]. The 18F-fluorocholine positron emission tomography/computed
49 tomography (FCH-PET/CT) imaging revealed an interval progression of the pulmonary
50 metastases [Figure-1].

51

52 A left lung metastasectomy as a semi-emergency was planned as the metastatic nodule in
53 the left lower lobe was rapidly increasing in size and was in close proximity to the
54 pulmonary artery. The pandemic was at its peak and a preoperative rRT-PCR test as a
55 screening investigation was done despite the patient being asymptomatic. Surgery was
56 deferred as SARS-CoV-2 RNA was detected. After 4 weeks, the patient's rRT-PCR test
57 was still positive. However, it was decided to proceed with the surgery as the cycle
58 threshold [Ct] value was 43 above the cut off value of 34.^{2,3} The cross-linked D-Dimer
59 assay XDP value was normal [0.1mg/L, normal range; <0.5mg/L]. A quantitative Covid-
60 19 antibody test [Anti -SARS-COV-2 S] done 1 day before surgery was negative

61 [<0.400 IU/mL]. The cardiopulmonary reserve assessed by 6-minute walk test was
62 acceptable [500 meters]. The room air arterial blood gas analysis results were within the
63 normal range [pH: 7.4; $p\text{CO}_2$: 40 mm Hg; $p\text{O}_2$: 88 mm Hg; oxygen saturation: 96%;
64 HCO_3 :24 mmol/L, Na^+ :138 mmol/L, K^+ : 4.2 mmol/L lactate: 1mmol/L] as were the
65 vital parameters [blood pressure: 140/88 mmHg, heart rate: 78/min]. He could hold his
66 breath for more than 10 seconds and he was using an incentive spirometer regularly. The
67 chest X-Ray displayed multiple nodular opacities related to the underlying malignancy.
68 There were no ground glass opacities or evidence of consolidation suggestive of a
69 COVID-19 involvement of the lungs [Figure-2].

70

71 After obtaining an informed consent for the surgical procedure, the patient was shifted to
72 the operation room. All personnel involved, donned personal protective equipment [PPE].
73 The positive- pressure system and air conditioning in the operating room was turned off.
74 After preoxygenation with oxygen through a tight-fitting face mask for 3 mins, general
75 anesthesia was administered under standard ASA monitoring. Patients' trachea was
76 intubated with a 37 Fr double lumen tube [DLT] with the help of a video laryngoscope.
77 The DLT was positioned and the placement was confirmed by fiberoptic bronchoscopy
78 [FOB] with the patient being apneic. The tracheal and bronchial cuffs of the DLT were
79 inflated immediately to avoid leakage of aerosol. High-efficiency particulate air (HEPA)
80 filters were applied between the disposable anesthesia face mask and the breathing
81 circuit, on the inspiratory and expiratory limbs of the breathing circuit, between the DLT
82 catheter mount and the breathing circuit and on the bronchial lumen of the DLT that
83 would be in communication with the operative non-dependent lung adding up to a total of
84 5 HEPA filters.

85

86 A pressure-controlled mode of mechanical ventilation was initiated with a fraction of
87 inspired oxygen [FiO_2] of 0.7 and a positive end expiratory pressure [PEEP] of 5 cm
88 H_2O . Pressure limit was adjusted to achieve 6ml/kg/min tidal volume monitoring the end
89 tidal carbon dioxide [EtCO_2]. General anesthesia was maintained with infusions of
90 dexmedetomidine [0.6 mcg/kg/hr], cisatracurium, fentanyl and sevoflurane [0.6 to 1
91 MAC]. One lung ventilation was achieved without any episode of hypoxemia. Left

92 parenchymal sparing metastasectomy with anatomical segmentectomy was performed
93 and 6 metastatic masses were removed. On completion of the procedure, aspiration of
94 secretions was performed via a closed system from the non-dependent of the lung,
95 followed by an alveolar recruitment maneuver of the collapsed lung and initiation of both
96 lung ventilation. The wound was closed in layers and the surgery lasted about 95 minutes.
97

98 One intercostal drain was inserted that was connected to an Argyle™ Thora-Seal™ III
99 chest drain system [Cardinal, Ireland]. Synchronized intermittent mandatory ventilation
100 [SIMV] mode was initiated on return of spontaneous breathing. This was changed to
101 pressure support mode [10 cm H₂O] and the neuromuscular blockade was reversed.
102 Cough reflex was inhibited with dexmedetomidine infusion and a bolus of lidocaine
103 injection[1mg/kg]. All anesthetic agents were stopped once surgical dressing was
104 completed. 100% FiO₂ was administered for 2 minutes and the patient's trachea was
105 extubated. Oxygen was administered through nasal prongs and a surgical face mask was
106 applied to the patients face. An arterial blood gas analysis was done [pH:7.39, PaCO₂:
107 37mm Hg, PO₂: 90 mmHg, oxygen saturation:99% HCO₃:22 mmol/L, Na⁺:136 mmol/L,
108 K⁺: 3.2 mmol/L, lactate:1.9 mmol/L]. After confirmation of uncompromised spontaneous
109 breathing, the patient was transferred to intensive care unit. The intercostal drain was
110 removed after 48 hours postoperatively and the patient was discharged home on the 7th
111 day after surgery. The patient flow diagram shows the stages of management of the
112 patient as well as the zones in the operating room [Figure-3].
113

114 A computerized tomography done during the 3-month follow-up reported diffuse
115 bronchial wall thickening with patent central tracheobronchial tree. There was mild para-
116 septal emphysema with bi-apical scarring. The left apical region displayed cicatrization
117 and atelectasis. Informed patient consent as well as institutional ethical committee
118 approval was obtained to publish this report.
119

120 **Discussion**

121 This report describes the successful anesthetic management of an elderly patient who had
122 a positive rRT-PCR test and needed urgent pulmonary metastasectomy. The

123 recommendation for timing the surgery in patients with SARS-CoV-2 infection has
124 changed recently. At the time the current patient was operated on, the recommendation
125 was that once SARS-CoV-2 infection was diagnosed, surgery was to be postponed for at
126 least 7-14 days from the end of symptoms and that too with a negative swab result.^{4,5} The
127 recent evidence suggests that the surgery should be delayed by 7 weeks.⁶

128

129 However, a positive rRT-PCR assay result alone may not be as important as the Ct value.
130 The Ct threshold value is the number of amplification cycles needed to yield a positive
131 fluorescent signal in a rRT-PCR and is a surrogate marker for the viral load. Ct levels are
132 inversely proportional to the amount of target nucleic acid in the sample. At the time of
133 surgery for the current patient data suggested 34 cycles as the cutoff value for deciding
134 on SARS-CoV-2 infectivity.² A patient may not be infectious if Ct values are ≥ 35 .³

135

136 Viral droplets are the principal cause of spread of COVID-19 infection.⁴ Many
137 anesthetic procedures result in aerosol generation of viral particles that may pose a risk
138 for the medical team taking care of a COVID-19 patient. Stress on minimizing aerosol
139 generation during the various stages of anesthesia is the primary difference in
140 anesthetizing a non-COVID patient for thoracic surgery compared to those who are
141 infected with COVID-19. The European Association of Cardiothoracic Anaesthesiology
142 Thoracic Subspecialty Committee recently published recommendations for the
143 management of thoracic anesthesia patients with suspected or confirmed COVID-19
144 infection.⁴ The recommendations lay emphasis on achieving successful airway
145 management without compromising the health of the treating team. Guidelines are also
146 available for triaging patients with thoracic malignancies based on the trajectory and the
147 impact of COVID-19 cases on each institution.¹ Notwithstanding a rapidly escalating
148 COVID-19 trajectory with many hospital admissions and resources constraint, the current
149 patient underwent early surgery.

150

151 During preoperative evaluation of suspected COVID-19 patients, rRT-PCR testing and
152 when its unavailable, chest computed tomography needs to be done.⁵ There might be
153 COVID-19-related blood test anomalies like elevated values of C-reactive protein,

154 erythrocyte sedimentation rate, and D-dimer levels.⁵ COVID-19 patients may exhibit
155 chest radiography abnormalities as well.⁵ The current patient tested positive for rRT-PCR
156 on two occasions with a Ct of >35 the second time. As the metastatic lesions were rapidly
157 increasing in size with the possibility of infiltration into pulmonary vasculature, a
158 decision to operate was made.

159

160 Tracheal intubation is to be performed electively using rapid sequence induction with
161 complete neuromuscular paralysis after preoxygenation in an “isolated” negative pressure
162 room with >12 air changes/minute.⁴ In the current patient, as a negative pressure
163 operating room was not available, the tracheal intubation was done in an operating room
164 after switching off the positive pressure with the doors closed.

165

166 The operating room area was separated into red, white and yellow zone.⁴ In the red zone
167 i.e., the operating room, there were 2 staff donned with full PPE during the tracheal
168 intubation that was performed by a senior anesthesiologist with the assistance of a nurse.
169 The yellow zone was the induction room that was attached to the operating room and
170 separated by a glass partition where an anesthesiologist with full donned PPE was
171 available in case of need for help as suggested by Şentürk and colleagues.⁴ An observer
172 from the institutional infection control team was present in the white zone that was
173 outside the dedicated operating room where the surgery was to take place. The PPE
174 donned by the team comprised of hair covers, two N95 face masks each, face shield, long
175 sleeve fluid-resistant gown, double gloves and overshoes as prescribed.⁴ According to the
176 European Guidelines, lung separation with a bronchial blocker may be the preferred
177 mode in COVID patients undergoing thoracic surgery.⁴ This technique mandates the use
178 of an FOB guidance.

179

180 Simultaneously, many other international societies recommend consideration of DLTs in
181 lieu of bronchial blockers for patients who do not have a known or suspected difficult
182 airway with the placement of an antiviral filter on the open nonventilated lumen of the
183 DLT.^{7,8} A DLT may be positioned without the help of FOB guidance based on
184 auscultation and may be a better choice of lung isolation in COVID-19 infected patients.

185 In the current patient an initial attempt was made to place a DLT without the help of FOB
186 guidance. As this initial attempt failed, it necessitated the need for FOB for accurate
187 placement of the DLT during the second attempt. This was done maintaining the patient
188 apneic. The management guidelines suggested by Şentürk M and colleagues for the
189 conduct of one lung ventilation in patients with COVID-19 were adopted by the authors
190 in the current patient.⁴ The placement of HEPA filters in the anesthesia circuit,
191 management of ventilation parameters, alveolar recruitment techniques prior to
192 resumption of both lung ventilation after achieving one lung ventilation, use of closed
193 system for aspiration of secretions, cough suppression at the time of tracheal extubation
194 etc. were in accordance with these guidelines.⁴ Nasal prongs for oxygen supplementation
195 were inserted in the patient with the application of a surgical face mask over the prongs.
196 Observation of the patient during the immediate post extubation period was done in the
197 operating room and once the anesthesiologist was convinced of the cardio-pulmonary
198 stability of the patient, the patient was shifted to an isolation room in the intensive care
199 unit for further management.

200

201 Attention was paid towards possibility of virus transmission via the chest drainage unit.
202 Following the metastectomy, no air leak was detected during the process of recruitment
203 and expansion of the lung that was operated on. The intercostal drain was connected to a
204 chest drain unit that was a closed system that and had three chambers: a collection
205 chamber for pleural fluid/ chest drain output; a water-seal chamber that acted as a one-
206 way valve; and a suction control chamber set to negative pressure of 5 cmH₂O. The outlet
207 port of the 3rd chamber was connected to the wall mounted suction to scavenge the
208 exhaust, from any ongoing air leak or air displaced by pleural drainage in the operating
209 room and in the intensive care unit. During transport to the intensive care unit the outlet
210 port was kept sealed. In order to curb any possibility of disease transmission, some
211 authors suggested attachment of a HEPA filter to the port of the drainage system that is
212 open to the environment.⁹

213

214 Based on prior experience of management of patients during the MERS-CoV outbreak
215 and the available literature, guidelines for anesthesia management of thoracic surgery in

216 patients with suspected/confirmed COVID-19 were recently published by the Saudi
217 Anesthesia Society.¹⁰ These guidelines addressed the general regulations, organization,
218 preparations and lung isolation/separation in different types of patients during COVID-19
219 outbreak.¹⁰ Many of these were adopted in the current patient management.

220

221 **Conclusions**

222 In conclusion, case selection for thoracic surgeries during the current pandemic must be
223 based on multidisciplinary discussion and the timing of surgery may be dictated by the
224 patients underlying pathology. The perioperative anesthetic management poses an
225 important challenge as many events that are necessary for the conduct of anesthesia in
226 these patients are a major source of aerosol generation and may contribute to spread of
227 infection. Therefore, the primary objective of the conduct of thoracic anesthesia in
228 COVID-19 patients is to minimize aerosol generation at all stages.

229

230 **Authors' Contribution**

231 MMM conceptualized the work and was involved in acquisition of the images and
232 drafted the manuscript. MJN, MMM, KRSA and AB were involved in the interpretation
233 of the data. MJN was responsible for the provision of the anesthesia details. AB was
234 responsible for the provision of the operation data. KRSA collected the data. All authors
235 approved the final version of the manuscript.

236

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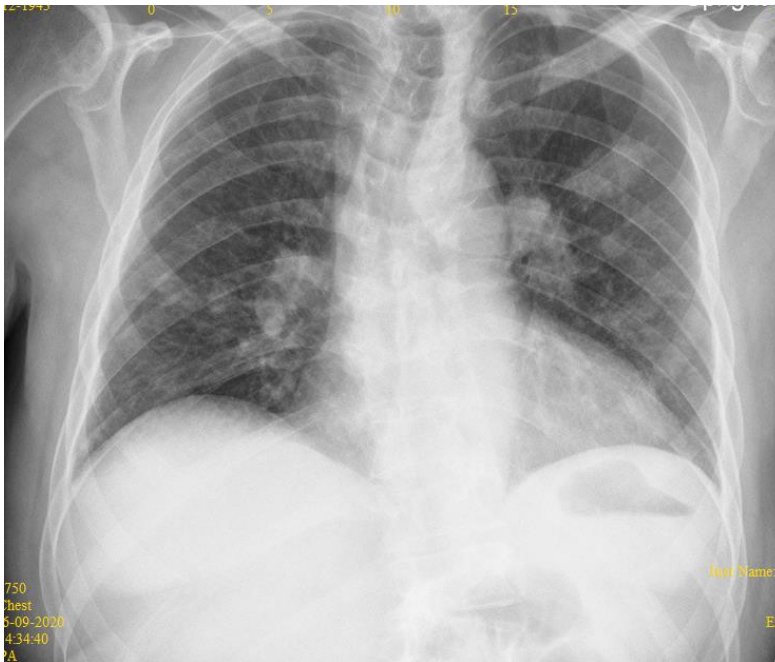


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277 **Figure 1:** 18F-fluorocholine positron emission tomography/computed
 278 tomography (FCH-PET/CT) imaging displaying metastatic nodules in the left and right
 279 lungs

280



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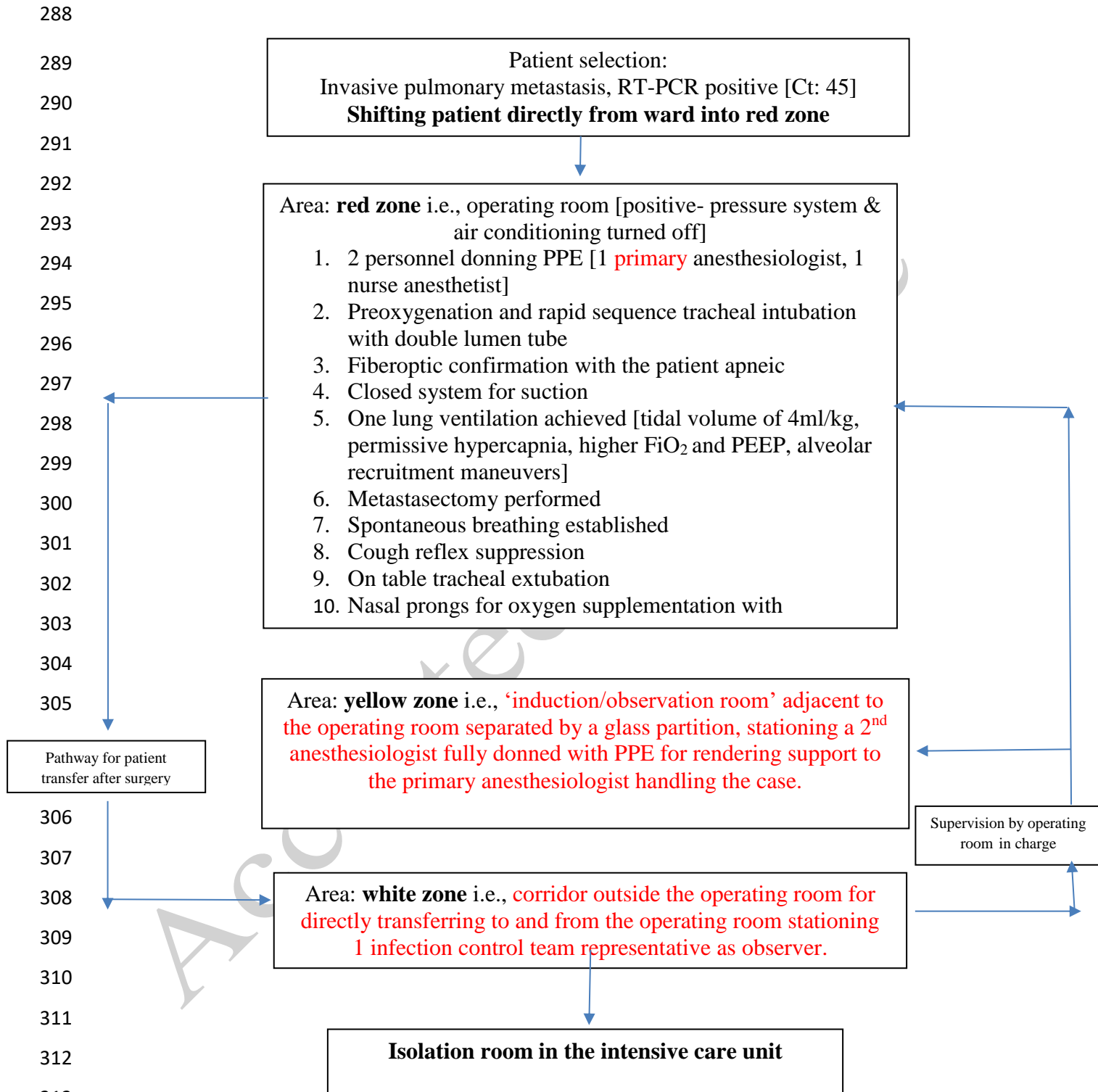
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283 **Figure 2:** Chest radiograph the anteroposterior view displaying the lung fields with no
 284 signs of opacities or consolidation suggestive of COVID-19 infection of the lungs

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286

287



315 **Figure 3:** The patient flow diagram showing the stages of patient management and the
316 zones in the operating room.