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7	Empagliflozin use in cardiac transplant patients
8	Real world experience from Saudi Arabia
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15	
16	Abstract
17	Objectives: Sodium-glucose transport protein 2 inhibitor (SGLT2) drugs are used to treat
18	patients with type 2 diabetes. In additions to their beneficial metabolic effects in lowering the
19	glaciated hemoglobin, body weight and blood pressure, these agents have shown favorable
20	and protective effects on the heart and the kidneys. These cardio renal benefits are seen even
21	in people without diabetes. There is little evidence on the safety and efficacy of SGLT2
22	inhibitors use in cardiac transplant recipients. We wanted to study the cardiac transplant
23	recipients who used Empagliflozin for the treatment of diabetes to evaluate its safety and
24	efficacy in this niche sub group of patients. <i>Method:</i> We retrospectively identified 20 patients
25	on the cardiac transplant recipient register taking Empagliflozin (Jardiance) or Empagliflozin
26	combined with Metformin (Synjardy). We studied the safety and efficacy parameters.
27	<i>Results:</i> Our results show improvement in HBA1c, body weight and stability in serum
28	creatinine. There was no increased risk of genitourinary infection, hypoglycemia or diabetic
29	ketoacidosis. Conclusion: While we need larger studies in cardiac transplant patients taking
30	Empagliflozin, our small study provides assurance that Empagliflozin is safe to use in cardiac
31	transplant recipients.
32	Keywords: Empagliflozin; Cardiac Transplant Recipients; Synjardy; Type 2 Diabetes;
33	SGLT2 inhibitors.

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3	4

# 35 Advances in Knowledge

- Empagliflozin is a safe oral antidiabetic drug option for treating patients with diabetes
   and cardiac transplants. It does not increase the risk of genitourinary infection even
   when patients are on immunosuppressive drugs, providing reassurance.
- The use of empagliflozin helps stabilize body weight and serum creatinine while
   improving HbA1c levels in patients with diabetes.
- 41

# 42 Applications to Patient Care

- We expect that empagliflozin (SGLT2 inhibitors) will be more widely used in patients
  with diabetes post-cardiac transplant and will not be limited to patients with diabetes,
  diabetic nephropathy, ischemic heart disease, or heart failure.
- 46

### 47 Introduction

Empagliflozin is an oral anti-diabetic agent and it belongs to the sodium-glucose transport protein 2 inhibitors class (SGLT2i). It works on the SGLT2 receptors on the proximal tubules in the nephrons and inhibits the reabsorption of filtered glucose.<sup>1</sup> This unique insulinindependent mechanism of action leads to glycosuria and a net loss of sodium and water to

52 regulate glucose homeostasis.

53

The focus of diabetes care over many decades has been achieving reasonable glycemic 54 control. The publication of the EMPA REG trial in 2015 led to a change in this landscape.<sup>2</sup> 55 56 This trial demonstrated that in addition to glycemic control, Empagliflozin reduced the risk of 57 major adverse cardiovascular events (MACE) by 13%. In addition, patients who used Empagliflozin had a reduced risk of cardiovascular mortality. This landmark trial shifted the 58 focus of clinicians from being glucocentric to ensuring cardiovascular risk reduction in 59 patients with diabetes. Further evidence from the Emperor reduced<sup>3</sup> and Emperor preserved<sup>4</sup> 60 61 randomized control trials showed that Empagliflozin was able to reduce the risk of 62 cardiovascular mortality and heart failure-related admissions regardless of the presence or 63 absence of diabetes. 64

A meta-analysis of six randomized control trial involving over 46,000 patients with type 2
diabetes treated with four different types of SGLT2 inhibitors demonstrates that the SGLT2

- 67 inhibitors as a class has favorable effects on cardiovascular risk reduction.<sup>5</sup> Likewise, another
- 68 meta-analysis of eight randomized controlled trials including over 60,000 patients taking
- 69 another drug class, Glucagon-like peptide 1 (GLP-1), has demonstrated similar results in
- 70 improving glycemic control and minimizing cardiovascular risks.<sup>6</sup>
- 71

72 Rivinius et al report that nearly one third of the cardiac transplant recipients have pre-existing

- 73 type 2 diabetes which increased their risk of graft failure and mortality at five years.<sup>7</sup>
- 74 Similarly, the cardiac transplant recipient patients are at heightened risk of developing post-
- rs transplant diabetes (PTDM) in approximately 25% of the patients.<sup>8</sup> The development PTDM
- 76 increases the morbidity and mortality in these transplant patients.<sup>8</sup> While extensive evidence
- 77 exists in the use of Empagliflozin in high-risk diabetes patients with enhanced cardiovascular
- risk<sup>5</sup>, there is a paucity of evidence of its use in cardiac transplant recipients taking
- 79 immunosuppressive drugs, which increases the risk of infections and cardiac and renal
- 80 impairment.
- 81

Our aim was to study the safety and efficacy of use of Empagliflozin in cardiac transplant
recipients with pre-existing type 2 diabetes. Our objective was to evaluate the efficacy
parameters including HBA1c, weight, BMI, serum creatinine and the safety parameters that
included genitourinary infection risk.

86

# 87 Methods

We identified our target patients from the cardiac transplant registry at our institution using 88 keywords: diabetes, Empagliflozin, Synjardy, Jardiance. We identified 20 patients who met 89 90 these criteria. We included all patients who initiated empagliflozin post-transplant for the treatment of pre-existing type 2 diabetes. We reviewed the electronic medical records of our 91 study population. We included patients who used the study drug for at least six months and 92 93 we had their baseline and the follow up clinical data for two clinic follow ups. We recorded 94 the first follow-up data from 2-4 months and the second follow-up data from 5-7 months from baseline. We studied the safety parameters including the episodes of genitourinary 95 96 infection and hypoglycemia. We studied the efficacy parameters including the change in HBA1c, weight, serum creatinine and e GFR. Twelve patients took Empagliflozin and 97 98 metformin combination (Synjardy) and continued it, five patients took Empagliflozin 25mg 99 daily and three patients took Empagliflozin 10 mg daily.

We summarized baseline characteristics using continuous variables and presented them as mean and standard deviation (SD). We used frequencies (n), percentages (%), and graphs to present summary data for continuous and categorical variables. We collected the data in the password protected Redcap software hosted at our institution. We anonymized the data and adhered with the institutional confidentiality guidelines. We took the approval for conducting the study from the institutional research committee. We adhered to the CARE checklist for case series during our study.

108

### 109 **Results**

We had 20 patients with mean age of 49.6 years. 75% were male patients (table 1). Mean 110 body weight was  $73 \pm 18.03$  kg. More than 60% of the patients were in the overweight to 111 obese category. Mean HBA1c was 8.48%.  $\pm 1.89$  The predominant reason for requiring the 112 cardiac transplant was cardiomyopathy followed by the coronary artery disease. Our patients 113 had extensive prior cardiovascular disease history with 60% having coronary artery disease 114 and 55% had heart failure and 35% of the patients had history of hospitalization due to acute 115 heart failure during the preceding 12 months. Most patients were taking more than one anti-116 rejection medication. All patients were taking steroids: two patients were taking Cyclosporin, 117 118 seventeen patients were taking Tacrolimus, and eighteen patients were taking Mycophenolate. 119

120

HBA1c improved from mean baseline HBA1c of  $8.48\% \pm 1.89$  to  $8.02 \pm 2.90$  at the second 121 follow up (figure 1). The body weight changed from 73.24 Kg  $\pm$  18.03 to 72.27 Kg  $\pm$  19.40 122 (figure 2). The body mass index improved from 27.47 Kg/m<sup>2</sup> to 26.72 Kg/m<sup>2</sup> (figure 3). The 123 124 eGFR remained stable from baseline of  $62.3 \pm 6.82$  to  $64.33 \pm 5.74$ . Serum creatinine reduced 125 from baseline of  $92.85 \pm 24.25$  to  $79.33 \pm 28.80$  (figure 4). All patients had a post-transplant echo within three months, and ejection fraction (EF) was >50%. Eighteen patients had echo 126 results available at six months: EF was > 50 % in twelve patients, 40-49% in two patients and 127 <40% in four patients. One patient had transplant rejection between 3-6 months. One patient 128 129 developed genitourinary infection that required oral antibiotics treatment. No patient experienced hypoglycemic episodes or diabetic ketoacidosis that required hospitalization. 130 Four patients were treated in the hospital for renal impairment during the follow up period. 131 132 Empagliflozin was temporarily held and resumed for these patients at discharge from the 133 hospital.

#### 135 Discussion

- 136 Patients taking SGLT2 inhibitors are at increased risk of genitourinary infection.<sup>2</sup> This risk is
- 137 enhanced further when taking immunosuppressive drugs post-cardiac transplant. Only one
- 138 patient in our study group experienced the genitourinary infection, which was amicably
- treated with oral antibiotics and did not require hospitalization or withdrawal of
- 140 Empagliflozin, which provides reassurance.
- 141
- 142 Diabetic ketoacidosis (DKA) is a rare occurrence in patients with type 2 diabetes. However,
- 143 there are some reports of euglycemic ketoacidosis in patients taking SGLT2 inhibitors.<sup>9</sup> This
- risk is mitigated by temporarily omitting its use during acute illness and perioperative
- 145 periods. During our study, no patient developed DKA, which provides confidence in using
- 146 Empagliflozin in cardiac transplant recipients.
- 147
- Hypoglycemia is an undesirable but well-recognized side effect of any diabetes care regimen.
  Hypoglycemia is seen often in patients taking either insulin or drugs that increase insulin
  secretion. Empagliflozin works in an insulin-independent mechanism by enhancing glucose
  excretion in the urine and therefore it does not increase the risk of hypoglycemia particularly
  when not used with either insulin or insulin secretagogues.<sup>1</sup> None of our patients reported
  hypoglycemia that required hospitalization or third-party assistance which is consoling.
- 154

Dehydration and renal impairment led to the hospitalization of three patients. During the 155 156 acute illness and the treatment, Empagliflozin was held temporarily and restarted when the patient recovered prior to discharge from the hospital. Treatment with SGLT2 inhibitors can 157 lead to increased urine volume by approximately 300 ml per day.<sup>1</sup> This fluid loss is usually of 158 no consequence in most patient with diabetes. However, in patients with significant 159 160 comorbidity such as heart transplant and possible concurrent use of diuretics can increase the 161 risk of dehydration. This risk of dehydration is compounded by the fact that Saudi Arabia records high temperatures reading 50 degrees in summer, which can further contribute to 162 dehydration. Clinicians should exercise caution when using SGLT2 inhibitors in patients with 163 164 comorbidities and those with simultaneous use of diuretics. 165

Our results are similar to those observed by Cehic et al in their cohort of 22 patients in terms
 of safety and efficacy.<sup>10</sup> While these are small observational studies, concrete evidence is

expected from the currently ongoing randomized controlled trial on the use of Empagliflozin
in cardiac transplant recipients.<sup>11</sup>

170

Our study draws its strength from the availability of unique data from the cardiac transplant recipients with type 2 diabetes using Empagliflozin, a population that is otherwise not wellstudied or published. Diabetes is a prevalent disease, and Empagliflozin is a well-established oral anti-diabetes agent. Considering these parameters, the study sample though small should be significant. However, we focused on a niche population of heart transplant recipients, which makes this sample size reasonable.

177

178 Our study's weaknesses include the retrospective design. In addition, our results are from the

- 179 Saudi population in Saudi Arabia and are not applicable to other geographical areas or
- 180 ethnicities. Furthermore, most patients in our study did not have any results for proteinuria.
- 181 Proteinuria is common in transplant recepient. It is multifactorial due to the use of
- 182 immunosuppressive drugs such as cyclosporine, tacrolimus, Sirolimus, Everolimus,
- 183 pressence of diabetes, hypertension and episodes of transplant rejection. Regular monitoring
- 184 of urine protein is essential. The use of therapies such as angiotensin converting enzyme
- inhibitors (ACEi) or angiotensin II receptor blockers (ARB) can help reduce the proteinuria.
- 186 Additionally, good control of blood pressure and diabetes with be invaluable. Future studies
- 187 should include measurement of proteinuria.
- 188

# 189 Conclusion

- 190 While we need larger studies in cardiac transplant patients taking Empagliflozin, our small
- 191 study provides assurance that Empagliflozin is safe to use in cardiac transplant recipients.
- 192

## **193** Authors' Contribution

- MIB conceptualized and designed the study. RMHA, NA and RA collected the data, whileMIB, NA and RA analyzed the data. NA and RA prepared the tables and figures. MIB
- drafted the manuscript. All authors critically reviewed the manuscript and approved the finalversion.
- 198

# **199** Conflict of Interest

200 The authors declare no conflicts of interest.

201	
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204	
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- **244** 069641
- 245

# 246 Table 1: Baseline clinical characteristics of cardiac transplant recipients with type 2

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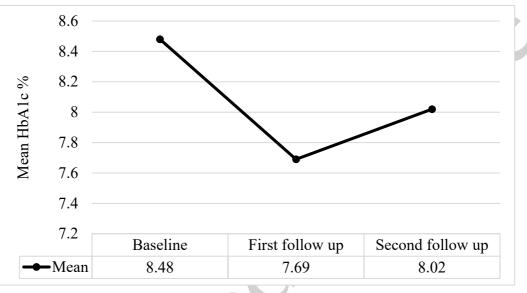
247 diabetes taking Empagliflozin (n=20)

Age (years), mean± SD	49.6 ± 16.42
Sex	×
Male n (%)	15 (75%)
Female n (%)	5 (25%)
Weight (Kg), mean± SD=n=19*	$73.24 \pm 18.03$
Body mass index (Kg/m <sup>2</sup> ), n= 19 (%) *	
Under 20	1 (5.26%)
20 to 24.99	6 (31.58%)
25 to 29.9	4 (21.05%)
30 to 34.9	7 (36.84%)
35 to 39.9	1 (5.26%)
Blood pressure mmHg n= 19*	
Systolic, mean± SD	$122.15 \pm 14.54$
Diastolic, mean± SD	$74.57 \pm 11.96$
Duration of Diabetes	
Less than 5 years	3 (15%)
5 up to 10 years	2 (10%)
More than 10 years	7 (35%)

Unknown	8 (40 %)
Hemoglobin A1C	
Less than 6	2 (10%)
6-6.9%	3 (15%)
7-7.9%	2 (10%)
8-8.9%	5 (25%)
9-9.9%	2(10%)
10% and over	4 (20%)
Not done	2 (10%)
Hemoglobin A1C, %, mean±SD n= 18*	8.48 ± 1.89
Serum creatinine level, mean±SD (µmol/L)	92.85 ± 24.25
Glomerular filtration rate (GFR)	
Less than 45	0(0%)
45 to 59	7 (35%)
60 or more	13 (65%)
<b>Reason for cardiac transplant</b> n=20 **	
Cardiomyopathy	19 (95%)
Coronary heart disease	8 (40%)
End stage heart failure	3 (15%)
Recurrent arrhythmias not controlled with all	
other treatment options	1 (5%)
Cardiovascular disease past medical history	
n=20 (%)***	
Coronary disease	12 (60%)
Cerebrovascular disease	1 (5%)
Heart failure	11 (55%)
History in the last 12 months prior to	
prescription	
Genital infection	0 (0%)
Urinary tract infections	0 (0%)
Hospitalization for hypoglycemia	0 (0%)

Hospitalization for heart failure	7 (35%)	

- 248 \*Missing information.
- 249 \*\*Multiple etiologies requiring transplant .
- 250 \*\*\* Patients with multiple diseases
- 251

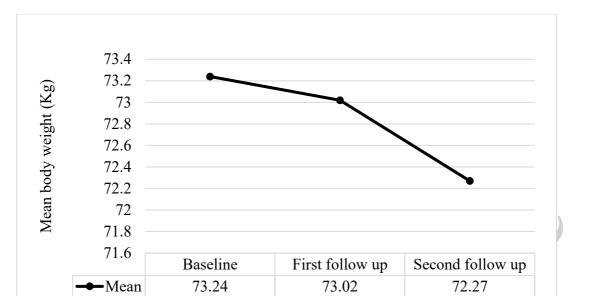


				·			
HbA1c	Mean	SD	Median	IQR	Q25	Q75	Ν
Baseline	8.48	1.89	8.5	2.8	6.8	9.6	18*
First	7.69	1.74	7.3	2.2	6.3	8.5	11
follow up							
Second	8.02	2.90	7.2	1.3	6.2	7.5	9
follow up							

**Figure 1:** Change in Glycated hemoglobin (HbA1c)

254 *\*Missing information in two patients* 

HbA1c = Glycated hemoglobin; SD = Standard deviation; IQR = Interquartile range



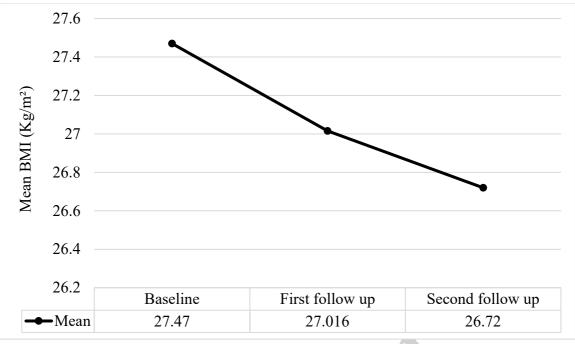
Weight	Mean	SD	Median	IQR	Q25	Q75	Ν
Baseline	73.24	18.03	70	23.1	60	83.1	19*
First	73.02	18.69	72.55	18.3	62	80.3	18
follow up							
Second	72.27	19.40	71	25	60	85	15
follow up							

**Figure 2:** Change in the body weight (Kg)

259 \*Missing information in one patient

20°

260 *SD*= *Standard deviation; IQR*= *Interquartile range* 



BMI	Mean	SD	Median	IQR	Q25	Q75	Ν
Baseline	27.47	5.47	27	8	23.1	31.1	19*
First	27.016	5.32	26.35	6.29	23.7	30	18
follow up							
Second	26.72	5.70	28	9.5	21.5	31	15
follow up							

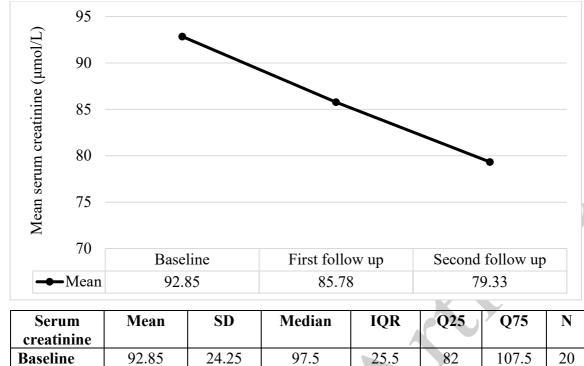
**Figure 3:** Change in the body mass index (BMI)

. Ce

264 \*Missing information in one patient

265

SD= Standard deviation; IQR= Interquartile range; BMI= Body mass index



Serum	Ivican	50	Iviculali	nyn	Q43	Q/3	1 T		
creatinine									
Baseline	92.85	24.25	97.5	25.5	82	107.5	20		
<b>First follow</b>	85.78	25.78	85	32	73	105	19		
up									
Second	79.33	28.80	75	32	66	98	15		
follow up									
Figure 4: Change in comme exectining									

**Figure 4:** Change in serum creatinine

SD= Standard deviation; IQR= Interquartile range